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PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 3000-34 DESIGNATED/ELECTED OFFICE (DO/EO/US) 37)CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP2004/003225 28 March 2003 26 March 2004 TITLE OF INVENTION DEVICE AND METHOD FOR SEARCHING AND PROCESSING DATA IN A MASS STORAGE DEVICE APPLICANT(S) FOR DO/EO/US SIEBEN, Ulrich; SINNHOFER, Werner Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An eath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. X Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. X Other items or information: Certificate of Express Mail, Verification of Translation

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2004/003225 3000-34 The following fees have been submitted CALCULATIONS PTO USE ONLY 21. 🔯 Basic national fee.....\$300 300.00 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)......\$100 200.00 All other situations.....\$200 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 All other situations......\$500 400.00 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) \$ - 100 = /50 x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). 130.00 **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$ Total claims 22 - 20 = x \$50 \$ 100.00 Independent claims 3. - 3 = 0 \$200 \$ x MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ TOTAL OF ABOVE CALCULATIONS = 100.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 1.130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1,130.00 Amount to be \$ refunded: Amount to be \$ charged: a. [X] A check in the amount of \$ $_1,130.00$ to cover the above fees is enclosed. __ to cover the above fees. Please charge my Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _50-3381 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Patrick J. O'Shea SIGNATURE O'Shea, Getz & Kosakowski, P.C. Patrick J. O'Shea 1500 Main Street, Suite 912 NAME Springfield, MA 01115 35,305 Tel.: 413-731-3100, x102 REGISTRATION NUMBER

Docket No: 3000-34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Sieben et al.

GROUP:

Not yet assigned

INTERNATIONAL

EXAMINER: Not yet assigned

APPLN. NO.:

PCT/EP2004/003225

SERIAL NO:

Not yet assigned

INTERNATIONAL

FILING DATE:

26 March 2004

FOR:

DEVICE AND METHOD FOR SEARCHING AND PROCESSING OF

DATA IN A MASS STORAGE

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that the attached Transmittal Letter (PTO-1390) and the items referred to as being enclosed therewith are being deposited with the United States Postal Service on this date <u>September 28, 2005</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV684062388US</u> addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sarah Z. Henry Sarah L. Henry

10/551208

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File 3000-34

VERIFICATION OF TRANSLATION

I hereby declare and state that I am knowledgeable of each of the German and English languages and that I made and reviewed the attached translation of the International Preliminary Examination Report on PCT/EP2004/003225 from the German language into the English language, and that I believe my attached translation to be accurate, true, and correct to the best of my knowledge and ability.

Date: September 23, 2005

Isabel A. Leonard

Translator